



The Mutual Fund Business (2nd Edition)

By Pozen, Robert C.

Cengage Learning, 2002. Book Condition: New. Brand New, Unread Copy in Perfect Condition. A+ Customer Service! Summary: Contents Note: Each chapter contains Review Questions, Discussion Questions, and Notes. I. Structure, Measurement and Regulation of Mutual Funds 1. Indirect Investing Through Mutual Funds I. Mutual Funds versus Individual Securities II. Mutual Funds: History and Current Trends III. The Structural Characteristics of Mutual Funds and Commercial Banks Reading 1.1 Money Managers and Securities Research by Robert C. Pozen Reading 1.2 The End of Behavioral Finance by Richard Thaler Reading 1.3 Industry Profile: How the Commercial Banking Industry Operates Case Study: Analyzing Credit Issues in a Money Market Fund 2. Choosing Among Mutual Funds I. Types of Nonstock Funds II. Stock Funds III. Alternatives to Mutual Funds (Other Pooled Investment Vehicles) Exercise 2.1 Allocating Assets Among Mutual Funds 3. Regulation and Disclosure for Mutual Funds I. Classification and Taxation II. Disclosure and Advertising Rules Callout Box: Investment Company Institute III. Internet and Privacy Callout Box: Electronic Signatures IV. Substantive Regulation V. Independent Directors Callout Box: Advisory Group on Best Practices for Directors VI. Enforcement and Ethical Codes Callout Box: Blue Ribbon Advisory Group on Personal Investing Reading 3.1 Advertising the Fund by Kirkpatrick...



Reviews

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